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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/562,713

12/23/2005

Guangming Zhang

19422

8630

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7590

09/04/2008

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EXAMINER

WYLLIE, CHRISTOPHER T

ART UNIT

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/562,713	<b>Applicant(s)</b> ZHANG, GUANGMING	
	<b>Examiner</b> CHRISTOPHER T. WYLLIE	<b>Art Unit</b> 2619	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 23 December 2005.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 9-11 is/are rejected.
- 7) ☒ Claim(s) 5-8 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 December 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>03/09/2007</u> .  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED OFFICE ACTION

1. Claims 1-11 are pending in Application 10/562,713.

#### ***Claim Objection***

2. Claim 9 is object to under C.F.R. 1.75 because of the following informalities:

Claim 9, lines 2, the term "said predefined node" should be change to ---a predefined node---. Also, on line 3, the word "a" should be inserted between the words "...response in" and "predetermined time...". Appropriate correction is required.

#### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-2 and 9 are rejected under 35 U.S.C. 102(b) as being anticipated by Hardjono (WO 00/33509).

Regarding claim 1, Hardjono discloses creating multicast source information **(page 6, lines 5-11 [the initiator of the multicast stores a data structure containing the authorized members of the multicast and their identities])**; a management platform of the multicast source authentication information dynamically updating said multicast source information in accordance with restriction on multicast source **(page 6, lines 9-10 [the data structure is updated when a new member is added to the multicast group or an old member logs off of the multicast])**; controlling the multicast message sent from the multicast source in accordance with said multicast

source authentication information **(page 6, lines 12-14 [once the multicast is initiated a message is sent to each authorized member of the multicast group])**.

Regarding claim 2, Hardjono further discloses creating multicast source information in a master multicast source authentication server and slave multicast servers **(page 5, lines 9-11 and page 6, lines 5-8 [each network device 104 (Figure 1) can be a server; each IP address of each authorized member is stored on a member list of each network device 104 of each subnet; this includes the initiator of the multicast and the receivers of the multicast])**; wherein the management platform of the multicast source information comprises a master multicast source server **(page 5, lines 9-11 and page 6, lines 5-8 [the network device 104 connected to the initiator is the master server and it contains a list of all authorized members of the multicast])**.

Regarding claim 9, Hardjono further discloses if the node does not receive a response in a predefined time after initiating the authentication request for the multicast source of the multicast message, the authentication request for the multicast source is deem as being failed **(page. 7, lines 19-26 [the network device 104 is to wait a specific amount of time for all the reply messages; at the end of that time period it is determined whether all the reply messages were received; if they are not, then the network device 104 sends a "re-key" message to the initiator indicating that the encryption key must be changed; therefore the multicast failed])**.

#### ***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

7. Claims 3-4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hardjono (WO 00/33509) in view of Novaes (US 2002/0165977).

Regarding claim 3, Hardjono further discloses that the multicast source authentication information is recorded in tabular form (**page 6, lines 6-8 [the authorized members of the multicast are stored in a member list on each network device 104]**), but does not disclose that the table contains a corresponding relationship between the multicast source address and the multicast address and the multicast address is a result of an AND operation on the multicast source address and the address mask. However, Novaes discloses such features (**paragraph 0077, lines 7-9 [the group ID (multicast address) is the result of an AND operation between the IP address and the subnet mask calculated by an AND operation address mask]**).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the method of Novaes into the system of Hardjono. The method of Novaes can be implemented by enabling each network device to store the multicast group ID in the table and also to create the multicast group

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ID as a result of the source IP address and the subnet mask. The motivation for this is to enable the network device to manage multiple multicast sessions with information stored in the member lists.

Regarding claim 4, Hardjono further discloses that the slave multicast source authentication server, in accordance with the multicast source authentication information in the master multicast source authentication server, updating the multicast source information stored in a predetermined period (); when the multicast authentication in the master multicast source authentication server is changed, notifying the slave multicast authentication server to update the multicast source information stored **(page 6, lines 12-14, page 7, lines 19-25 [one the multicast is initiated a message is sent to all authorized members of the multicast group; if all the replies are not collected in a given amount of time by the network device<sup>104</sup> of the initiator, a new encryption key is generated and is sent to each member that did reply; therefore the authentication information of the receiving members is updated whenever there is a discrepancy in the amount of replies received by the network node associated with the initiator and the encryption key of the receiving members is updated to the new encryption key])**.

8. Claim 10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hardjono (WO 00/33509) in view of Song et al (US 2003/0211843) in view of Takahashi (US 6,046,989).

Regarding claim 10, Hardjono discloses a multicast source control system, comprising: a master multicast source authentication server a group of slave multicast

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source authentication servers (**page 5, lines 9-11 and page 6, lines 12-15 [once the multicast is initiated by the initiator the network device 104 forwards a message to other network devices 104; therefore the server that receives the multicast message from the initiator can be called the "master" and the receiving servers can be called the "slaves"]**) and a predefined node (**page 5, lines 9-11 and page 6, lines 12-15 [once the multicast is initiated by the initiator the network device 104 forwards a message to other network devices 104; therefore the first network device 104 acts as a predefined node to other network devices 104]**); wherein, when multicast source authentication information stored therein the master multicast source authentication server is changed, the master multicast source authentication server notifies the slave multicast source authentication servers (**page 6, lines 12-14, page 7, lines 19-25 [one the multicast is initiated a message is sent to all authorized members of the multicast group; if all the replies are not collected in a given amount of time by the network device 104 of the initiator, a new encryption key is generated and is sent to each member that did reply]**); the slave multicast source authentication servers updates multicast source authentication information stored therein at a predefined period in accordance with the multicast source authentication information in the master multicast source authentication server (**page 6, lines 12-14, page 7, lines 19-25 [a new encryption key is generated and is sent to each member that did reply; therefore the information is updated]**); when the slave multicast source authentication servers receive an authentication message transmitted from a the predefined node, they transmit a corresponding authentication response to said predefined node in accordance with the authentication information stored therein

**(page 6, lines 12-15 and page 7, lines 10-14 and page 8, lines 3-4 [the multicast server receives a message for the initiator and forwards a query message to all member on the list and waits for a response from each member; all members reply to the query message]);** when the predefined node receives a multicast message sent from the multicast source, it initiates an authentication request to the preconfigured multicast source authentication server thereof, and controls the multicast message sent from the multicast source in accordance with the response from the multicast source authentication server **(page 6, lines 12-15 and page 7, lines 10-14 and page 8, lines 3-4 [the multicast server receives a message for the initiator and forwards a query message to all member on the list and waits for a response from each member; if all members reply then the multicast is provides for those members])**. Hardjono does not disclose when the master multicast source authentication server receives an authentication request transmitted from the predefined node, it transmits a corresponding authentication response to said predefined node in accordance with the authentication information stored therein. However, Takahashi discloses such features **(see Figure 8, steps S10, S14, S16 and S38 and column 5 66-67 and column 6, lines 1-4 [the multicast server receives a request for multicast connection registration; the Table 28 is searched to determine if the multicast connection registration exist; if it does it transmits a response])**.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the method of Takahashi into the system of Hardjono. The method of Takahashi can be implemented by enabling the first network device 104 to receive a request from another network device in order to determine a



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user's association with the multicast. The motivation for this is to provide a secure multicast to authorized members

Regarding claim 11, Hardjono further discloses that the predefined node is a router or a switch **(page 5, lines 9-11 [each network device can be a router])**.

### ***Claim Objections***

9. Claims 5-8 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTOPHER T. WYLLIE whose telephone number is (571) 270-3937. The examiner can normally be reached on Monday through Friday 8:30am to 6:00pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Edan Orgad can be reached on (571) 272-7884. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Christopher T. Wyllie/  
Examiner, Art Unit 2619

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